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SPECIAL ISSUE PAPER

Energy-efficient resource allocation in multiuser relay-based OFDMA networks

Jianhua Zhang^{*,†}, Yun Jiang and Xiaofan Li

Key Laboratory of Universal Wireless Communications, Ministry of Education, Beijing University of Posts and Telecommunications, Beijing 100876, P. R. China

SUMMARY

Although the demand for battery capacity on mobile devices has grown with the increase in high data rate applications, battery technology has not kept up with this demand. Therefore, the growth in energy demand coupled with global warming provide a new trend in wireless communication known as energy-efficient transmission. In this paper, the energy-efficient resource allocation for a two-hop uplink multiuser relay-based system is studied. We adopt the orthogonal frequency division multiplexing as the physical layer modulation technique. Assuming that the base station has all the channel state information, an energy efficiency optimization problem by joint subcarrier assignment, bit and power allocation is formulated. We first develop a near-optimal resource allocation scheme to maximize the overall energy efficiency; then, an efficient resource allocation algorithm is provided to solve the problem with relatively low computational complexity. Furthermore, fairness constraint among users is imposed on the system to guarantee each user's QoS. Our joint resource allocation algorithm is proved to achieve a considerable improvement in terms of energy-saving and simultaneously decreases outage probability by simulation results. Copyright © 2012 John Wiley & Sons, Ltd.

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KEY WORDS: energy efficiency; resource allocation; relay-based; multiuser

1. INTRODUCTION

Energy efficiency is not only a crucial performance metric for mobile devices and sensor networks with limited battery life, but also one of the main design concerns of other types of devices and networks [1]. This is because the growth of energy consumption has contributed substantially to global warming, which may have disastrous consequences [2]. Because of the battery-powered wireless stations and the growing requirements of 'anytime and anywhere' multimedia applications [3], the energy efficiency concern has become increasingly important in wireless communication systems, and one of the critical performance measures for wireless system design.

In the wireless communication system, cooperative relaying provides significant improvements in coverage area, system throughput and link reliability, which has attracted the increasing attention in recent works [4]. The combination of cooperative transmission and orthogonal frequency division multiple access (OFDMA) has become a candidate to cope with the practical frequency selective fading and offers substantial benefits. The performance of multiuser wireless communication systems can be significantly improved by efficiently allocating the available resources. However, it becomes quite challenging because of the complexity caused by joint subcarrier, bit and power

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^{*}Correspondence to: Jianhua Zhang, Key Lab of Universal Wireless Communications, Ministry of Education, Beijing University of Posts and Telecommunications, Beijing 100876, P. R. China.

^{54 &}lt;sup>†</sup>E-mail: jhzhang@bupt.edu.cn

allocation, and the consideration on the fairness constraint among users. Resource allocation has been well studied for multiuser OFDMA networks [5–7]. In [8], the authors considered the amplifyand-forward relay-based orthogonal frequency division multiplexing (OFDM) links and developed a resource allocation framework that maximizes the instantaneous rate by finding the power allocation at the relay (source) for a given source (relay) power allocation. In [9], both the maximization of system throughput and fairness constraints were examined referring to decode-and-forward (DF) relay-based OFDMA systems. In [10], an optimal resource allocation (joint power allocation and subcarrier assignment) algorithm was proposed to improve the system's BER performance for an amplify-and-forward relay-based multicarrier system. It should be mentioned that the aforementioned resource allocations aim to maximize system throughput or minimize the BER, but it is not applicable to improve the system energy efficiency, which is defined as the number of bits transmitted per Joule of energy [11].

Because wireless stations are typically battery-powered, energy-efficiency is one of the critical performance measures for wireless networks and energy-efficient resource allocation is worth focusing on. In [12], the authors considered uplink energy-efficient transmission in OFDMA systems and designed link adaptation and resource allocation schemes. In [13], the authors provided dynamic energy-efficient resource allocation algorithms for OFDM-based wireless systems aiming at reducing the total power consumption of the base station with constraint on individual minimum data rate for each terminal. However, the research addressing energy-efficient communication for relay-based OFDMA network has been seldom examined.

In this paper, we use the available wireless network resources as efficiently as possible and provide better QoS required by users. We consider an uplink multiuser DF relay-based OFDMA system. The main contributions are summarized as follows:

- (i) Formulate an energy efficiency maximization problem by joint subcarrier, bit and power allocation, which is NP-hard and difficult to find the global optimum;
- (ii) Propose two resource allocation algorithms based on decomposing the mixed optimization problem into two subproblems. A simplified greedy algorithm is first provided to achieve the near-optimal performance with a large number of computations. To reduce the computational complexity, a bisection-method-based energy-efficient resource allocation (BM-ERA) algorithm is proposed to improve the system energy efficiency. Simulation results indicate that significant energy performance gains can be achieved;
- (iii) Develop a heuristic algorithm considering the fairness constraint among users, which is proved to achieve a considerable energy-saving improvement with each user's QoS guarantee by simulation results.

The remainder of the paper is organized as follows. The system model is described in Section 2. In Section 3, we give the optimization problem formulations and energy-efficient resource allocation algorithms. The simulation results are shown in Section 4. Finally, Section 5 summarizes the paper.

2. SYSTEM MODEL

Consider a two-hop OFDMA uplink network aided by DF relays with *M* source nodes (S), *L* relay nodes (R), and a single destination node (D); each node employs a single antenna, as shown in Figure 1. An OFDM transceiver with *N* subcarriers is available at each node. The orthogonality among subcarriers is guaranteed through the inclusion of a cyclic prefix that is long enough to accommodate the delay spread of the channel. A two-stage transmission protocol is adopted. In the first stage, all Ss transmit on the source–relay (S–R) links and the source–destination (S–D) links, and the other nodes listen. In the second stage, the selected Rs forward the messages to D on the relay–destination (R–D) links.

Let $n \in \{1, 2, ..., N\}$ be the set of orthogonal subcarriers. The channel response on the *n*th subcarrier from the *m*th S ($m \in \{1, 2, ..., M\}$) to the *l*th R ($l \in \{1, 2, ..., L\}$), from the *m*th S to D, and

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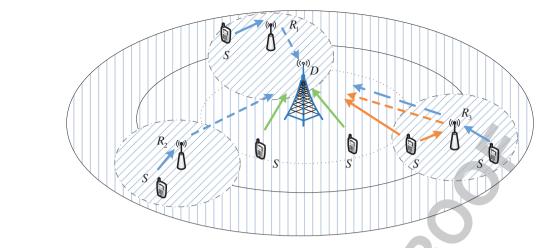


Figure 1. The two-hop multiuser relay-based OFDMA system model.

from the *l*th R to D are denoted as $H_{s_m r_l}(n)$, $H_{s_m d}(n)$ and $H_{r_l d}(n)$, respectively. Then, we define the channel power gain as follows:

$$\begin{cases} G_{s_m r_l}(n) = ||H_{s_m r_l}(n)||^2 \\ G_{s_m d}(n) = ||H_{s_m d}(n)||^2 \\ G_{r_l d}(n) = ||H_{r_l d}(n)||^2 \end{cases}$$
(1)

Let b_{mn} be the number of bits assigned to the *m*th S on the *n*th subcarrier. As in [14], the received signal-to-noise ratio (SNR) per symbol on the *n*th subcarrier for reliable reception of b_{mn} bits/symbol is

$$\gamma(b_{mn}) = \rho(2^{2b_{mn}} - 1)$$
(2)

where ρ is the SNR gap. Thus, for reliable transmission of b_{mn} bits/symbol for the *m*th S, the required received power can be written as

$$P_{\rm req}(b_{mn}) = \gamma(b_{mn}) \frac{N_0 B}{N} = (2^{2b_{mn}} - 1) \frac{N_0 B\rho}{N}$$
(3)

where B is the total system bandwidth, and N_0 is denoted as the single-side PSD of the additive white Gaussian noise, N_0 is the same for all Ss, Rs, and D.

Next, we propose the subcarrier cooperation criterion and divide the users into three groups in accordance with the subcarrier operation modes. Each subcarrier can be operated in three different modes as follows:

(i) Direct mode (M_D) — the *m*th S transmits directly to D on the *n*th subcarrier with the channel power gain

$$G_{\mathrm{s}_{m}\mathrm{d}}^{\mathrm{D}}(n) = G_{\mathrm{s}_{m}\mathrm{d}}(n) \tag{4}$$

which is denoted as $m \in M_D$;

(ii) Cooperative mode $(M_{\rm C})$ — D combines the directly received signal and the relayed signal by maximal ratio combining. The *l*th R uses the *j* th subcarrier to forward the information from the *m*th S on the *n*th subcarrier with the equivalent channel power gain (ECPG) [15]

$$G_{\rm s_m r_l d}^{\rm C}(n,j) = \frac{G_{\rm s_m r_l}(n)G_{\rm r_l d}(j)}{G_{\rm s_m r_l}(n) + G_{\rm r_l d}(j) - G_{\rm s_m d}(n)}$$
(5)

where the *m*th S belongs to $M_{\rm C}$, that is, $m \in M_{\rm C}$;

(iii) Relay mode (M_R) — The *l*th R uses the *j*th subcarrier to forward the information from the *m*th S on the *n*th subcarrier with the ECPG

$$G_{\mathrm{s}_{m}\mathrm{r}_{l}\mathrm{d}}^{\mathrm{R}}(n,j) = \frac{G_{\mathrm{s}_{m}\mathrm{r}_{l}}(n)G_{\mathrm{r}_{l}\mathrm{d}}(j)}{G_{\mathrm{s}_{m}\mathrm{r}_{l}}(n) + G_{\mathrm{r}_{l}\mathrm{d}}(j)} \tag{6}$$

where the *m*th S belongs to $M_{\rm R}$, that is, $m \in M_{\rm R}$.

The performance of a relay-based system highly depends on the channel conditions, such as largescale fading and small-scale fading distribution. Considering the large-scale fading effects, the users can be divided according to the distances between Ss and D. Because small-scale fading characteristics are necessary for the design of physical-layer transmission techniques, it is more reasonable to consider both the large-scale fading and small-scale fading effects in practice, and divide the subcarrier operation mode according to thresholds based on the channel power gains between Ss and D. The particular thresholds th1 and th2 can be simply set as th1 = $2/3 \arg_m \max \sum_{n \in N} G_{s_m d}^D(n)$ and th2 = $1/3 \arg_m \max \sum_{n \in N} G_{s_m d}^D(n)$ (th1 > th2). The optimal setting is beyond the scope of this paper. It is noted that in this paper, we propose a relay-based system model considering user division, and based on this, we mainly focus on the energy-efficient resource allocation scheme design. Other thresholds setting methods will be discussed in the future studies. Hence, the ECPG between the *m*th S and D on the *n*th subcarrier can be given as

$$G_{\rm eq}(n) = \begin{cases} G_{\rm smd}^{\rm D}(n) \ (m \in M_{\rm D}), & \text{if } \sum_{n \in N} G_{\rm smd}(n) > \text{th} 1, \\ G_{\rm smr_{l}d}^{C}(n, j) \ (m \in M_{\rm C}), & \text{if } \text{th} 2 < \sum_{n \in N} G_{\rm smd}(n) < \text{th} 1 \\ G_{\rm smr_{l}d}^{R}(n, j) \ (m \in M_{\rm R}), & \text{if } \sum_{n \in N} G_{\rm smd}(n) < \text{th} 2. \end{cases}$$
(7)

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Define $\beta_m(n) = 1$, if the *n*th subcarrier is used at the *m*th S ($m \in M_D$); otherwise, $\beta_m(n) = 0$. Let $\alpha_{s_m r_l}(n, j) = 1$ and $\gamma_{s_m r_l}(n, j) = 1$, if the *n*th subcarrier is used in cooperation with the *j*th subcarrier at the *l*th R for the *m*th S ($m \in M_C$ and $m \in M_R$, respectively); otherwise, $\alpha_{s_m r_l}(n, j) = 0$ and $\gamma_{s_m r_l}(n, j) = 0$. $S_m \subset \{1, 2, ..., N\}$ is denoted as the set of subcarriers assigned to the *m*th S. Because each subcarrier can only belong to one user exclusively, all S_m ($m \in \{1, 2, ..., M\}$) should be disjointed at each slot. Let R_t denote the target data rate per user for transmitting one OFDM block. The data rate vector on S_m subcarriers of the *m*th S is denoted as $R_m = [b_{mn}]^T$, $n \in S_m$, where $[]^T$ represents the transpose of a vector. Correspondingly, the overall data rate of the *m*th S is $R_m = \sum_{n \in S_m} b_{mn}$.

Define $P_m(n)$ as the amount of power transmitted on the *n*th subcarrier assigned to the *m*th S. In addition to transmit power, mobile devices also incur additional circuit power during transmission [16]. Although the transmit power models all the power used for reliable data transmission, we let the circuit power represent the average energy consumption of device electronics, such as mixers, filters, and digital-to-analog converters, and this portion of energy consumption excludes that of the power amplifier and is relatively independent of the transmission state. Denoting the circuit power as P_c , the overall power consumption for the *m*th S can be expressed as $P_c + \sum_{n \in S_m} P_m(n)$.

For energy-efficient communications, it is desirable to maximize the amount of data sent with a given amount of energy, which is equivalent to maximizing $BR_m/P_c + \sum_{n \in S_m} P_m(n)$ [13], denoted as the energy efficiency of the *m*th S. The unit of the energy efficiency is bits per Joule, which has been frequently used in literature for energy-efficient communications [17, 18].

3. PROBLEM FORMULATION AND SOLUTION

3.1. Maximization of energy efficiency

Our objective is to allocate subcarriers, bits, and power to each user to achieve maximum energy efficiency. On the basis of the above discussions, the energy-efficient resource optimization problem is mathematically formulated as

)2)2 $\max_{b_{mn}, P_m(n), S_m} \sum_{m=1}^M \frac{BR_m}{P_c + \sum_{n \in S_m} P_m(n)}$



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4 where

$$P_m(n) = \frac{P_{\rm req}(b_{mn})}{G_{\rm eq}(n)},\tag{9}$$

 $G_{\rm eq}(n) = \begin{cases} G_{\rm s_m d}^{\rm D}(n) \ (m \in M_{\rm D}), & \text{if } \beta_m(n) = 1, \\ G_{\rm s_m r_l d}^{\rm C}(n, j) \ (m \in M_{\rm C}), & \text{if } \alpha_{\rm s_m r_l}(n, j) = 1, \\ G_{\rm s_m r_l d}^{\rm R}(n, j) \ (m \in M_{\rm R}), & \text{if } \gamma_{\rm s_m r_l}(n, j) = 1 \end{cases}$

subject to

$$\sum_{m} \left\{ \beta_m(n) + \sum_{l} \alpha_{\mathbf{s}_m \mathbf{r}_l}(n, j) + \sum_{l} \gamma_{\mathbf{s}_m \mathbf{r}_l}(n, j) \right\} \leq 1, \forall n,$$
(10)

$$\sum_{m} \sum_{l} \sum_{n} \alpha_{\mathrm{smr}_{l}}(n, j) \leq 1, \sum_{m} \sum_{l} \sum_{n} \gamma_{\mathrm{smr}_{l}}(n, j) \leq 1, \forall j,$$
(11)

$$b_{mn} \ge 0, \forall m, n \tag{12}$$

Note that (10) indicates that each subcarrier can only be used by one S and relayed by at most one R at a given time, and (11) means that each subcarrier in R–D links can be used by at most one R.

The joint optimization of all variable in (8) gives an optimal system energy efficiency; however, it can be found that the optimization problem (8) is NP-hard and involves both continuous and discrete variables. Although the global optimal solution can be achieved by exhaustive search over all power and subcarrier allocations, high computational complexity prohibits its implementation in practical systems.

On the basis of the above consideration, it is observed that the optimization problem (8) involves two different types of constraints. We propose heuristic schemes that divide the original optimization problem into two separate subproblems and solve them independently. The proposed resource allocation schemes can obtain suboptimal solutions while achieving considerable decreases in the algorithm complexity. We first propose a simplified greedy algorithm that can obtain the performance close to optimum with a large number of computations. Then, a bisection-method-based resource allocation algorithm is described, which is more efficient and achieves considerable energy performance gain.

3.1.1. Simplified greedy algorithm. In this algorithm, we first allocate subcarrier among Ss and Rs considering subcarrier permutation, and then we perform optimal bit and power allocation for allocated subcarriers.

Subcarrier allocation. We assume that the bits and power are initially fixed, then the resource optimization problem can be simplified as

$$\max_{s_m} \sum_{m=1}^{M} \frac{BR_m}{P_c + \sum_{n \in S_m} P_m(n)}$$
(13)

subject to

$$\sum_{m} \{\beta_m(n) + \sum_{l} \alpha_{s_m r_l}(n, j) + \sum_{l} \gamma_{s_m r_l}(n, j)\} \leq 1, \forall n,$$

$$\sum_{m} \sum_{l} \sum_{n} \alpha_{s_{m}r_{l}}(n, j) \leq 1, \sum_{m} \sum_{l} \sum_{n} \gamma_{s_{m}r_{l}}(n, j) \leq 1, \forall j$$

(8)

Each S–R channel and R–D channel has been divided into N subchannels by the OFDM scheme; therefore, there are total MLN subchannels at the first hop. To further improve the performance gain, subchannel permutation, in which the subchannels are reallocated at Rs, should be employed. On the basis of considering the inverse of the channel power gain in (5), that is

$$\frac{1}{G_{s_m r_l}^{C}(n,j)} = \frac{G_{s_m r_l}(n) + G_{r_l d}(j) - G_{s_m d}(n)}{G_{s_m r_l}(n) G_{r_l d}(j)} = a(n) \frac{1}{G_{r_l d}(j)} + \frac{1}{G_{s_m r_l}(n)}$$
(14)

where $a(n) = (G_{s_m r_l}(n) - G_{s_m d}(n))/G_{s_m r_l}(n)$, we can see that for the *n*th subcarrier in the S–R link, the channel power gain of cooperative transmission achieves the maximum value if it is paired with the best subcarrier in the R–D link, that is, the subcarrier with the highest channel power gain. After permutation, the ECPG varies greatly from subcarrier to subcarrier. In this case, the frequency diversity can be easily exploited by resource allocation. The subcarrier allocation process can be summarized as follows:

- (i) Select *N* best subcarriers at the first hop and assign each of them to the S that has the best channel power gain on that subcarrier;
- (ii) Pair each selected subcarrier in the S–R links with the best available subcarrier in the R–D links.

Bit and power allocation. For each S, each bit is then allocated to the subcarrier with the maximum additional energy efficiency to transmit the additional bit until the target data rate R_t is achieved. In each step, the additional energy efficiency increase of each subcarrier to transmit the additional bit in that subcarrier is calculated, and the one with the maximum energy efficiency increase is selected. The complexity of the simplified greedy algorithm is $O(N^2)$. Although the proposed simplified greedy algorithm is much more efficient than exhaustive search, the computations and comparisons in each step make the algorithm complex with large number of available subcarriers and high target bits, as in IEEE 802.16 systems.

3.1.2. Bisection method based energy-efficient resource allocation. Here, we propose an alternative algorithm that has suboptimal performance but is more efficient. We first allocate subcarriers among Ss and Rs in the network with a simplified subcarrier permutation method. Then, each S independently allocates bits and power to its own assigned subcarriers using bisection method. This algorithm is defined as the bisection-method-based energy-efficient resource allocation algorithm.

Subcarrier allocation. As in the simplified greedy algorithm, we first assume that the bits and power are initially fixed. Assign a subcarrier to the S that has the best channel power gain for that subcarrier at the first hop. To further improve the performance gain, we reallocate subchannels in the S–R links to subchannels in the R–D links. Because the cooperative mode or relay mode is preferred when $G_{s_m r_l}^D(n)$ is small, so $1/G_{s_m r_l d}^C(n, j)$ in (14) can be roughly approximated by the sum of $1/G_{s_m r_l}(n)$ and $1/G_{r_l d}(j)$. It is easy to see that good (bad) subcarriers in the S–R links should be paired with good (bad) subcarriers in the R–D links [19]. Therefore, the subcarrier assignment using simplified subcarrier permutation method can be described as follows:

- (i) Sort a set of MLN subcarriers at the first hop according to their channel power gains in descending order;
- (ii) Allocate subcarrier to each S and R according to the sorted subcarrier order until the sum of subcarriers allocated to all Ss is equal to N. S_l $(l \in \{1, 2, ..., L\})$ denotes the amount of subcarriers allocated to the *l*th R at the first hop;
- (iii) For each R–D channel, sort a set of N subcarriers according to their channel power gains in descending order;
- (iv) For l = 1: 1 : L, allocate subcarrier to the lth R according to the sorted subcarrier order until the sum of subcarriers allocated to the lth R is equal to S_l ;

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(v) For each R, sort the allocated subcarriers at the first hop and the allocated subcarriers at the second hop in descending order and then pair them accordingly.

But and power allocation. The bit and power distribution problem for allocated subcarriers at the *m*th S can be separately formulated as

$$\max_{b_{mn}, P_m(n)} \frac{BR_m}{P_c + \sum_{n \in S_m} P_m(n)}$$

subject to

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 $b_{mn} \ge 0, \forall m, n$

Because $P_m(n) = \frac{P_{req}(b_n)}{G_{eq}(n)} = \frac{\rho(2^{2bmn}-1)N_0B}{G_{eq}(n)N}$ is strictly convex and monotonically increasing with b_{mn} , the objective function in (15) is strictly quasi-concave [20], which is equivalent to

$$\min_{nn,P_m(n)} \frac{P_c + \sum_{n \in S_m} P_m(n)}{BR_m}$$
(16)

Thus, the optimization problem (15) is transformed to a quasi-convex optimization problem with simple constraint. The optimal solution can be efficiently obtained by using bisection method [21] via a sequence of convex feasibility problems. To let the bisection method work, it is important to initialize an interval that contains b_{mn}^* . Denote $f(b_{mn}) = (P_c + \Sigma_{n \in S_m} P_m(n))/BR_m$, the bisection method can be summarized as follows:

(i) Initial upper bound $u = f(b_{mn}^{(\min)})$, lower bound $l = f(b_{mn}^{(\max)})$, where $f(b_{mn}^{(\min)})$ and $f(b_{mn}^{(\max)})$ define a range of relevant values of $f(b_{mn})$. Set tolerance $\epsilon > 0$;

(ii) Set t = (l + u)/2, solve the feasibility problem (17);

(iii) If feasible then set u = t else l = t, repeat (ii) until $u - l \le \epsilon$;

b

(iv) Output b_{mn}^* obtained from solving the feasibility problem in (ii).

The feasibility problem in (ii) can be written as

Find b_{mn} , $\frac{P_{c} + \sum_{n \in S_{m}} P_{m}(n)}{BR_{m}} \leq t,$

subject to

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 $b_{mn} \ge 0, \forall n$

The system energy efficiency can be maximized after all the subcarriers and bits are allocated. The complexity of the BM-ERA algorithm is O(N). It can be observed that in the BM-ERA algorithm, the number of iterations is much smaller compared with that in the simplified greedy algorithm. Thus, a comparable performance can be achieved by this computationally efficient algorithm, that is, the BM-ERA algorithm can perform as the substitute of the simplified greedy algorithm.

3.2. Fairness constrained energy-efficient resource allocation

In this section, we impose additional fairness constraint on the optimization problem discussed in Section 3.1 to guarantee each user's QoS. We aim to maximize the total energy efficiency of the network with constraints on users' target data rates and transmit power. The problem can be mathematically formulated as

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 $\max_{b_{mn}, P_m(n), S_m} \sum_{m=1}^M \frac{BR_m}{P_c + \sum_{n \in S_m} P_m(n)}$ (18)

(15)

(17)

 $R_m \geq R_t, \forall m$

 $\sum_{n \in S_m} P_m(n) \leqslant P_{\max}, \forall m,$

 $b_{mn} \ge 0, \forall m, n,$

subject to

$$\sum_{m} \{\beta_{m}(n) + \sum_{l} \alpha_{s_{m}r_{l}}(n, j) + \sum_{l} \gamma_{s_{m}r_{l}}(n, j)\} \leq 1, \forall n,$$
$$\sum_{m} \sum_{l} \sum_{n} \alpha_{s_{m}r_{l}}(n, j) \leq 1, \sum_{m} \sum_{l} \sum_{n} \gamma_{s_{m}r_{l}}(n, j) \leq 1, \forall j$$

where P_{max} denotes the maximal allowed transmit power per user for transmitting one OFDM block. The constraints $R_m \ge R_t$, $\forall m$ and $\sum_{n \in S_m} P_m(n) \le P_{\max}$, $\forall m$ specify the QoS constraint including the data rate and power constraints for each S. The above optimization problem can be solved using integer programming. However, the complexity grows exponentially with the number of integer variables and constraints. Therefore, it is prohibitive to find the optimal solution because of its computational complexity.

Here, we devise a fairness constrained energy efficient resource allocation (FC-ERA) algorithm to enhance the network energy efficiency performance and achieve considerable decrease in the algorithm complexity and the outage probability. Figure 2 displays our proposed four-step fairness

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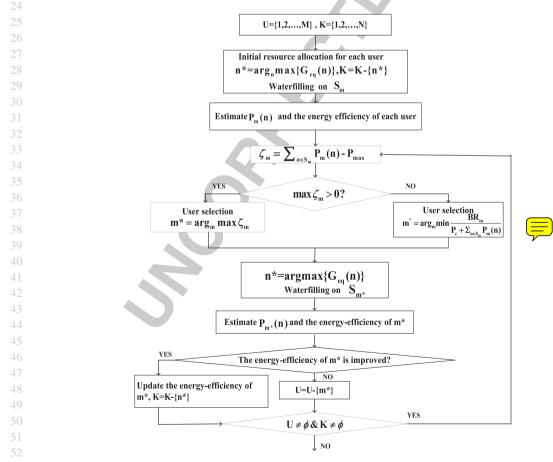


Figure 2. The four-step fairness constrained resource allocation algorithm for multiuser relay-based OFDMA network.

constrained algorithm structure. Let U be the set of users whose energy efficiency can still be increased at a certain stage of the optimization algorithm. Also, K denotes the set of remaining available subcarriers, which is initially equal to N. The algorithm is ended when either U or Kis empty.

- The four-step fairness constrained algorithm can be summarized as follows:
- (i) For m = 1 : 1 : M, allocate the most favorable subcarrier n^* to the *m*th S based on ECPG $(G_{eq}(n))$, then exclude n^* from the remaining subcarrier set. The transmit power and instantaneous energy efficiency are estimated for each S after distributing the bits using water-filling algorithm;
 - (ii) To guarantee user's QoS or maximize the system energy efficiency, the remaining subcarrier allocation is conducted based on the value of $\zeta_m = \sum_{n \in S_m} P_m(n) P_{\max}$, which denotes the difference between instantaneous transmit power and the maximum allowed transmit power of the *m*th S. If max $\zeta_m > 0$, select $m^* = \arg_m \max \zeta_m$ who has not met the transmit power constraint. Otherwise, select the least privileged user $m^* = \arg_m \min(BR_m/P_c + \sum_{n \in S_m} P_m(n))$ who has the worst energy efficiency performance or channel condition;
 - (iii) Assign the most favorable subcarrier n^* to the m^* th S, in such a way that the user's QoS constraint is satisfied and the overall energy performance is improved. The n^* th subcarrier is removed from the remaining subcarrier set afterwards. The transmit power and instantaneous energy efficiency are estimated for the m^* th S after distributing the bits among the allocated subcarriers using water-filling algorithm;
 - (iv) During the algorithm process, if the energy performance of the m^* th S could not be improved anymore, that is, if allocating more subcarriers to the m^* th S would not contribute to its energy efficiency, remove the m^* th S from the resource allocation process. Repeat (ii) and (iii) until all Ss leave the process or all subcarriers have been occupied.

4. SIMULATION RESULTS AND PERFORMANCE ANALYSIS

In this section, we present the simulation results. We consider a wireless relay-based multiuser OFDMA network with data rate constraint $R_t = 5$ bits/s/Hz and transmit power constraint $P_{\text{max}} = 0.2$ W. A single D is located in the center of the cell and L = 3 fixed Rs are employed. Each R is located on the axis of the corresponding sector with angle of $2\pi/L$. The distance between each R and D is 2/3 of the cell radius. M=10 Ss are randomly distributed over the cell. Employ 3GPP Spatial Channel Model [22] to evaluate the achievable performance gain for different algorithms. The detailed values of the simulation parameters are summarized by Table I.

The simulation results demonstrate that significant performance improvements can be achieved by the proposed allocation strategies compared with the equal power allocation (EPA) algorithm. The EPA algorithm represents random subcarrier distribution among Ss, and the transmit power is equally distributed over allocated subcarriers at each S and R.

| 41 42 | Table I. System parameters. | | | | |
|----------|-----------------------------|----------------------------------------|--|--|--|
| 43 | Parameter | Value | | | |
| 44 | System bandwidth | 5 MHz | | | |
| 45 | Carrier frequency | 2 GHz | | | |
| 46 | Sample rate | 7.68 MHz | | | |
| 47 | FFT size | 512 | | | |
| 48 | # of user subcarriers N | 300 | | | |
| | Cyclic prefix | 36 | | | |
| 49 | Cell radius | 500 m | | | |
| 50 | Height (S, R, D) | 1.5 m, 5 m, 32 m | | | |
| 51 | Pathloss model [23] (in dB) | S-R: $145.4 + 37.5\log_{10}(R)$ (NLOS) | | | |
| 52 | | S-D: $131.1 + 42.8\log_{10}(R)$ (NLOS) | | | |
| 53 | | R–D: $100.7 + 23.5\log_{10}(R)$ (LOS) | | | |
| 54 | | (<i>R</i> in kilometers) | | | |

T1

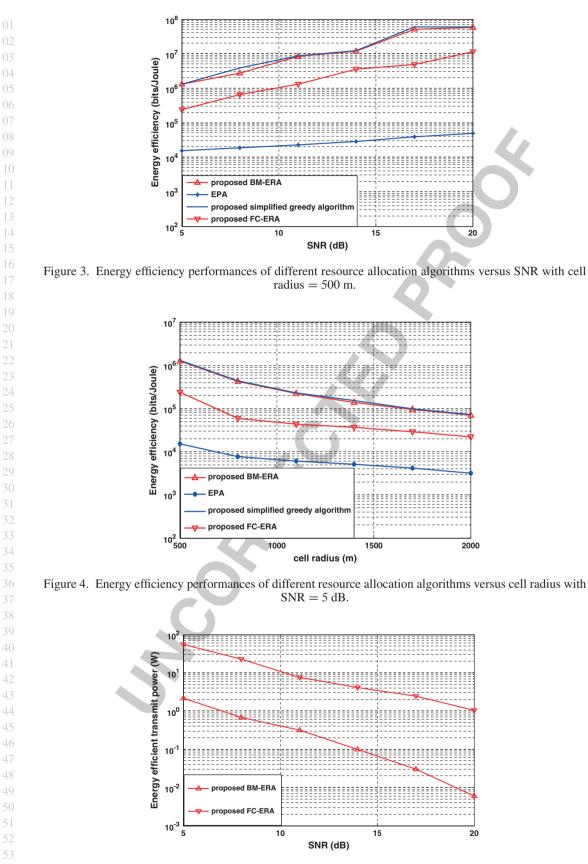
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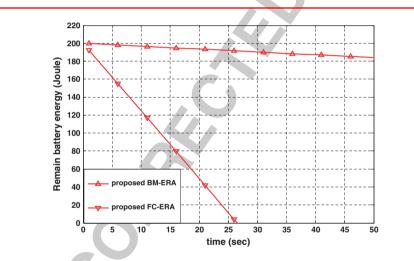


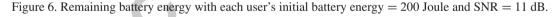
In Figures 3 and 4, we investigate the energy efficiency performances of different resource allocation algorithms versus the SNR and cell radius, respectively. It is obvious that the system energy efficiency increases with the growth of SNR and decreases with the growth of cell radius. Compared with the EPA algorithm, a dramatic energy-saving can be obtained by using the proposed simplified greedy algorithm, DB-ERA algorithm, and FC-ERA algorithm. It can be further discovered that the energy efficiency achieved by using the BM-ERA algorithm closely approaches that achieved by using simplified greedy algorithm, and the BM-ERA algorithm is much less complex, especially when the number of subcarriers is large.

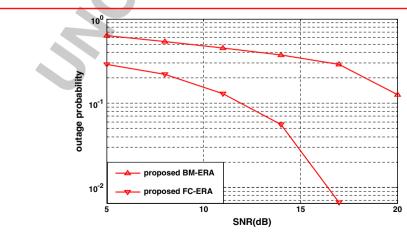
Figure 5 shows the users' transmit power versus the SNR. The results illustrate that the energy efficient transmit power decreases as the SNR increases. The power-saving performance achieved by using the BM-ERA algorithm outperforms that achieved by using the FC-ERA algorithm. Assume users' initial battery energy to be $E_m = 200$ Joule, Figure 6 presents the users' remain battery energy for both BM-ERA and FC-ERA algorithms. It can be observed that the BM-ERA algorithm demonstrates lower battery energy loss ratio compared with the FC-ERA algorithm.

4.1. Tradeoff analysis

Figure 7, we measure the performance of different algorithms by outage probability, which is defined as the achievable data rate is lower than the target data rate R_t , because the required transmit power is beyond the power constraint. Although the energy efficiency obtained by using









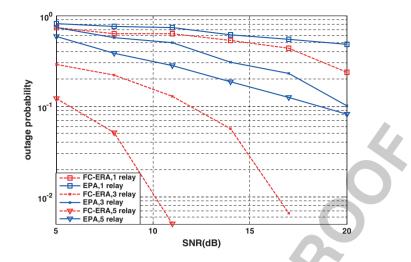


Figure 8. Outage performances versus SNR with cell radius = 500 m (including the effect of relay numbers).

FC-ERA algorithm is inferior to that obtained by using BM-ERA algorithm, Figure 7 distinctly illustrates that the outage performance of the FC-ERA algorithm is apparently superior to that of the BM-ERA algorithm, that is, the FC-ERA algorithm could ensure each user's QoS requirement while the BM-ERA algorithm could not. This means the FC-ERA algorithm can acquire a valid tradeoff between energy efficiency and outage probability of the overall system.

In Figure 8, the outage probability versus varying SNR is provided including the effect of relay numbers. It can be clearly seen that our proposed FC-ERA algorithm develops preferable fairness performance as compared with the EPA algorithm, and the benefit of outage performance seems to be enlarged with the increase of relay numbers.

5. CONCLUSIONS

In this paper, we have investigated the problem of resource allocation in multiuser OFDMA systems aided by DF relays. Aiming at maximizing the overall energy efficiency, we formulate the subcarrier, bit, and power assignment problem. The initial joint resource optimization problem can be formulated as an NP-hard problem, which is prohibitive to find the global optimum. Because of the computational complexity restriction, a simplified greedy algorithm considering subchannel permutation and an efficient algorithm using bisection method are developed. In the above two algorithms, we separately solve the decomposed subproblems to perform as the substitute of the optimal solution. Furthermore, we consider the user's QoS constrained energy efficiency maximization problem and propose a joint subcarrier, bit and power allocation algorithm to gain tradeoff between the energy performance and the fairness among users without prohibitive computational complexity.

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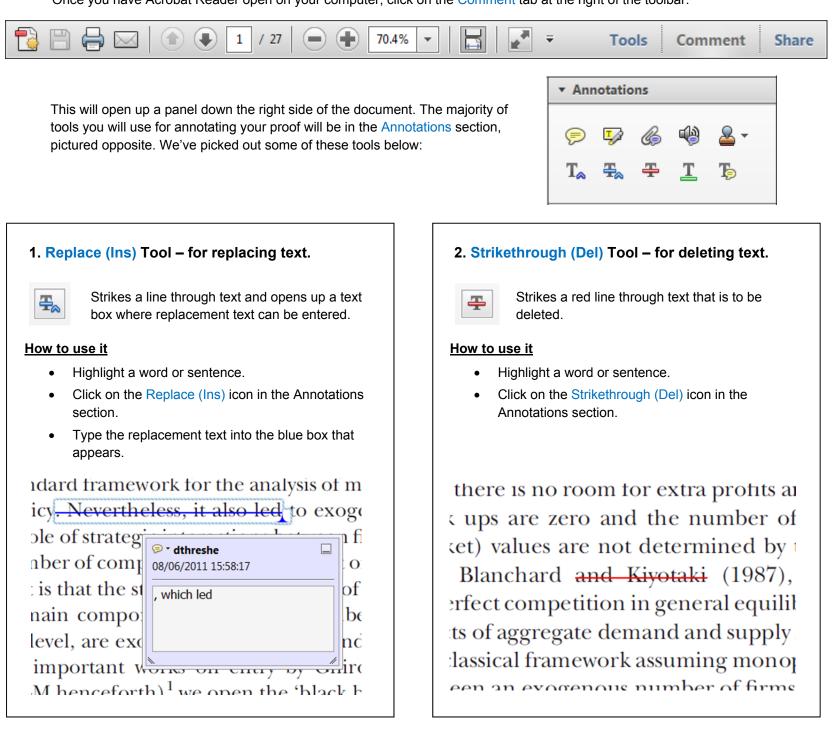
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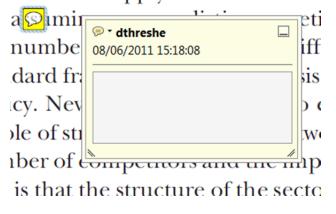
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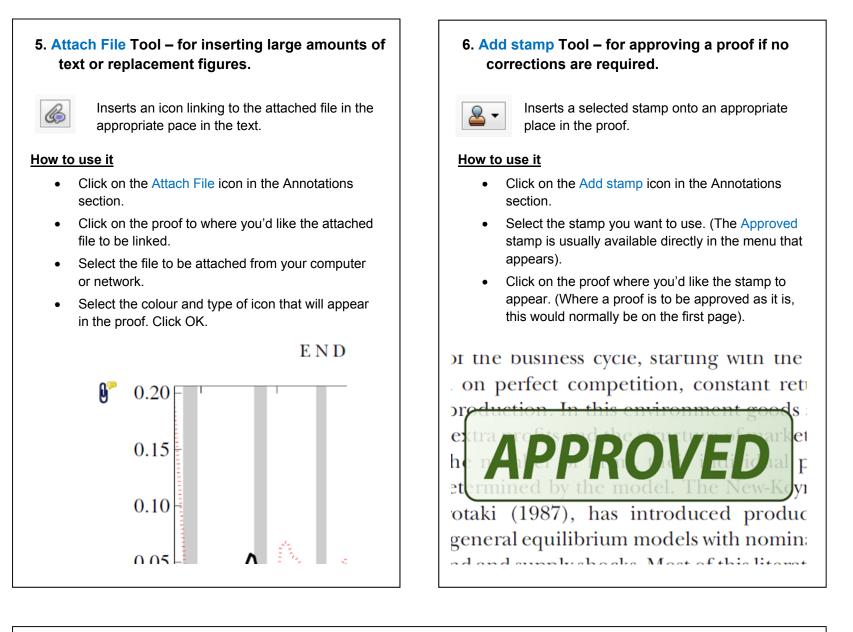
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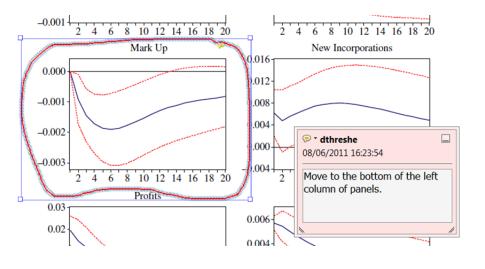


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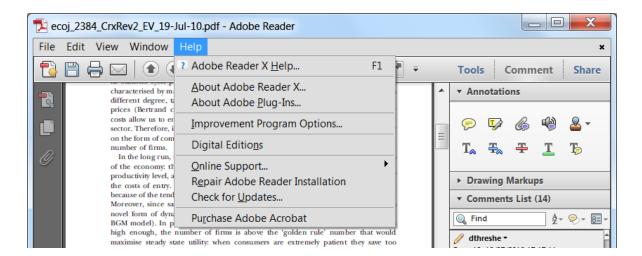
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